

C8/31/01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Paul H. Phibbs, Jr.

Docket: 9391

Title: CAPITAL ALLOCATION IN A NET INTEREST REVENUE IMPLEMENTATION FOR  
FINANCIAL PROCESSING IN A RELATIONAL DATABASE MANAGEMENT SYSTEM

08/30/01  
jc658 U.S. PTO

jc971 U.S. PTO  
09/943060  
08/30/01

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

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I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

By: *Suzie McCleave*

Name: Suzie McCleave

BOX PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate Of Mailing Under 37 CFR 1.10.
- ☒ **REQUEST FOR NON-PUBLICATION.**
- ☒ CIP Patent Application: Spec. 27 pgs; 15 claims; Abstract 1 pg(s).  
The fee has been calculated as shown below in the "Claims as Filed" table.
- ☒ 4 sheets of Drawings.
- ☒ A signed Declaration and Power of Attorney.
- ☒ Assignment of the invention to NCR Corporation, Recordation Form Cover Sheet.
- ☒ A charge to Deposit Account No. 50-1673 of NCR Corporation in the amount of \$710.00 to cover the Filing Fee.
- ☒ A charge to Deposit Account No. 50-1673 of NCR Corporation in the amount of \$40.00 to cover the Assignment Recordation Fee.
- ☒ Return postcard

CLAIMS AS FILED

Number of Claims Filed	In Excess of:	Number Extra	Rate	Fee
Basic Filing Fee				\$710.00
Total Claims				
15	20	0	x \$18.00	= \$0.00
Independent Claims				
3	3	0	x \$80.00	= \$0.00
MULTIPLE DEPENDENT CLAIM FEE				\$0.00
TOTAL FILING FEE				\$710.00

Please charge all fees to Deposit Account No. 50-1673 of NCR Corporation (the assignee of the present application).  
A duplicate of this sheet is enclosed.

**GATES & COOPER LLP**

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By: *George H. Gates*

Name: George H. Gates

Reg. No.: 33,500

Initials: GHG/sjm

**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

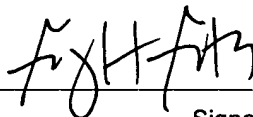
First Named Inventor	Paul H. Phibbs, Jr.		
Title	CAPITAL ALLOCATION IN A NET INTEREST REVENUE IMPLEMENTATION . . . SYSTEM		
Atty Docket Number	9391		

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

August 30, 2001

Date



Signature

George H. Gates

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**